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on March 9, 2005

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Examining Group 1635 Patent Application Docket No. USF-T150CX Serial No. 09/955,174

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Jane J. Zara

Art Unit

1635

Applicant

William G. Kerr

Serial No.

09/955,174

Filed

September 19, 2001

Conf. No.

9411

For

Control of NK Cell Function and Survival by Modulation of SHIP Activity

MS AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed. However, the applicant has not submitted a copy of the published U.S. application cited on attached Form PTO/SB/08 pursuant to 37 CFR 1.98(a)(2)(ii).

The applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

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Attachments: Form PTO/SB/08 (1 page); copies of some references cited therein.

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Complete if Known Substitute for form 1449A/PTO **Application Number** 09/955,174 INFORMATION DISCLOSURE Filing Date September 19, 2001 STATEMENT BY APPLICANT First Named inventor William G. Kerr (use as many sheets as necessary) Art Unit 1635 **Examiner Name** Jane J. Zara **Attorney Docket Number** of USF-T150CX Sheet

			U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-10/605,452	09-30-2003	Kerr et al. (patent application)	All
<u></u>	U2	US-10/709,801	05-28-2004	Desponts et al. (patent application)	All
,	U3	US-10/904,667	11-22-2004	Kerr et al. (patent application)	All
	U4	US-2002/0165192 A1	11-07-2002	Kerr et al.	All
	U5	US-			
	U6	US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	F1						
	F2						
	F3						
	F4					<u> </u>	

I Considered I	Examiner	Date
	Signature	1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.